HOUSEHOLD GOODS CARRIER

PRINCIPAL'S CRIMINAL HISTORY AND CITIZENSHIP/EMPLOYMENT AUTHORIZATION UPDATE FORM
FOR CALENDAR YEAR 2019 AND UP TO THE DATE FORM IS SIGNED IN 2020

This Form is to be used to fulfill the requirement of the North Carolina Utilities Commission (Commission) that each and every principal of a Commission-certificated household goods (HHG) carrier shall keep the Commission informed and up to date with respect to matters involving his/her criminal history and United States citizenship and/or employment authorization status. Principals are: the owner of a sole proprietorship; partners for a partnership; directors and officers for an incorporated business (Inc.); and member-managers and nonmember-managers for a limited liability company (LLC). Each and every principal must separately complete and submit this prescribed Form to report his/her individual information. Upon receipt, the completed Form will be treated as a "confidential" document. If you have questions, contact Nicholas Jeffries at 919-733-4036 or njeffries@ncuc.net.
Mail completed Form to Nicholas Jeffries, North Carolina Utilities Commission, 4325 Mail Service Center, Raleigh, North Carolina 27699-4300.

1. Company Name: _____
(Print full company name, as shown on the company's Commission-issued certificate.)

2. Company Docket Number: T- _____ Contact Phone Number: _____

3. Principal's Printed Name: _____
(Print principal's first, middle, and last name. Include any suffix, for example, Sr., Jr., II, III.)

4. Have you been charged, arrested, convicted, or found guilty of any criminal offense during calendar year 2019 and up to the date this form is signed, by you, in 2020, since you last submitted an Update Form or your completed Fingerprint Card to the Commission in 2019?

☐ YES
☐ NO
☐ SUBMITTING

(Check YES or NO; however, if you are a new principal (added after Company got certificate) who has not previously submitted a completed Fingerprint Card, Authority for Release of Information form, and $38.00 processing fee to the Commission you should do so immediately and check SUBMITTING. The Authority for Release of Information form can be found on the Commission's website at www.ncuc.net, under the Industries tab, Transportation, Applications/Forms, NCUC Form CE-1, page 9, Exhibit D.)

5. Has there been any change in your United States citizenship/employment authorization status since you last submitted this information to the Commission?

☐ YES
☐ NO
☐ SUBMITTING

(Check YES or NO; however, if you have not previously submitted your United States citizenship/employment authorization information/documentation to the Commission you should do so immediately and check SUBMITTING.)

6. If you answered "YES" to question 4 and/or 5 above, describe in detail the change(s) that has occurred. (Attach additional pages, if necessary.)

VERIFICATION

I, _____, state and attest that the information I
Print Principal's Name

have entered on this Form, including any attached additional pages, is true, correct, and complete to the best of my knowledge, information, and belief. This verification is made under oath and under penalty of perjury.

_____ _____
Signature of the Principal making Verification Date

Subscribed and Sworn Before Me this the _____Day of _____, ____
Month Year

_____ _____
Printed Name of Notary Signature of Notary Public

My Commission Expires: _____
Date